



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walker et al.

For: SYSTEM AND METHODS  
WHEREIN A THIRD PARTY  
SUBSIDY AND A MERCHANT  
SUBSIDY FACILITATE A  
TRANSACTION

Serial No.: 09/540,034

Filing Date: March 31, 2000

) Customer No.: 22927  
) Examiner: Not Yet Assigned

) Group Art Unit: 2761

) Docket No.: 99-078

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TECH CENTER 2700Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231TRANSMITTAL LETTER

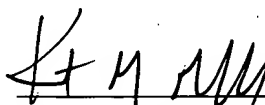
Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Copy of Original Declaration and Power of Attorney for Patent Application
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

Respectfully submitted,

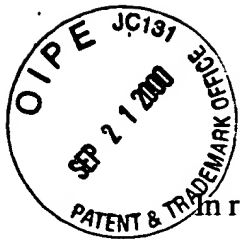
September 19, 2000

Date

  
Kurt M. Maschoff  
Attorney for Applicants  
PTO Reg. No. 38,235  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905Certificate of Mailing

I hereby certify that this correspondence is being sent via First Class Mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on September 19, 2000.

Sylvia Gonzalez  
Typed Name of Person Making  
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Date



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REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the Filing Receipt for the above-identified patent application be corrected as follows:

1. The inventive entities be corrected to reflect the addition of address for the first inventor as Jay S. Walker, **Ridgefield, CT.**
2. The inventive entities be corrected to reflect the addition of address for the second inventor as Daniel E. Tedesco, **Westport, CT.**
3. The inventive entities be corrected to reflect the addition of address for the third inventor as Stephen C. Tulley, **Stamford, CT.**
4. The inventive entities be corrected to reflect the addition of address for the fourth inventor as Wen Yan Lin, **New York, NY.**

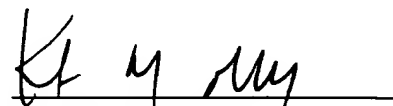
The requested change has been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.



The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$25.00 to Deposit Account No. 50-0271. Order No. 99-078. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-078. A duplicate copy of this authorization is attached for such purpose.

September 1, 2000  
Date

Respectfully submitted,

  
Kurt M. Maschoff  
Attorney for Applicants  
PTO Reg. No. 38,235  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905  
(203) 461-7020  
(203) 595-8266 (fax)

## FILING RECEIPT



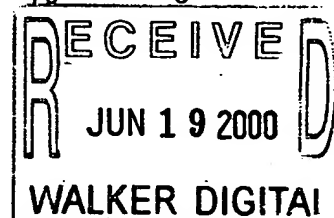
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/540,034	03/31/2000	2761	0	99-078	11	70	6

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905**COPY**

cc: Kmn, SJG



Date Mailed: 06/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jay S. Walker, Residence Not Provided;  
Daniel E. Tedesco, Residence Not Provided;  
Stephen C. Tulley, Residence Not Provided;  
Wen Yan Lin, Residence Not Provided;Ridgefield, CT  
Westport, CT  
Stamford, CT  
New York, NYRECEIVED  
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## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/14/2000

## Title

Systems and methods wherein a third party subsidy and a merchant subsidy facilitate a transaction

## Preliminary Class

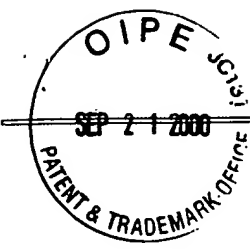
705

Data entry by : LANCASTER, DAVID

Team : OIPE

Date: 06/15/2000





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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